

Date: \_\_\_\_\_

Shun Heng Securities Limited  
11/F, Kwan Chart Tower,  
6 Tonnochy Road, Wan Chai,  
Hong Kong

Dear Sir,

**Authorize a Third person to give Settlement Instructions (for Individual Account only)**

Please be advised that I / we hereby authorize \_\_\_\_\_ (“Authorized Person”), (HKID / Passport No.) \_\_\_\_\_ as my / our authorized person to give settlement instructions on my / our behalf in relating to my / our securities trading account (A/C No: \_\_\_\_\_) opened with Shun Heng Securities Limited (“SH”). In addition to my / our personal instructions, trading instructions given by my / our “authorized person” shall be deemed to be given by me / us, as such, I / we agree and confirm that “SH” shall not be liable for any loss damage or claims resulting from acts or omissions taken in reliance on instructions or other communication whatsoever given by the “authorized person” and I / we irrevocably agree to fully indemnify “SH” against and keep “SH” free from any and all loss or damage whatsoever suffered or incurred by reason of “SH” compliance with, any act or omission in reliance on instructions given by the “authorized person” arising from or in connection with the trading of securities under my / our account.

I / We confirm that the “authorized person”  is /  is not an employee or representative of “SH”.

I / We agree that the “authorized person” is allowed to: sign Settlement Instruction (SI), sign Investor Settlement Instruction (ISI), apply for scrip withdrawal (other than scrip collection), transfer asset among my / our accounts, transfer payment to my / our bank accounts.

I / We acknowledge that this authorization is and shall remain valid until the end of the business day of December 31 this year. I / We shall receive an authorization renewal notice from “SH” 14 days or earlier before the expiry of this authorization. The renewal notice will remind me / us the expiry of the authorization, and unless I / we object the renewal, the authorization shall be automatically renewed under the same terms and conditions for another one full calendar year. If I / we do not object the renewal, “SH” will mail to me / us a renewal confirmation in the immediate week after the renewal of the authorization.

I / We confirm that this authorization is only for placing settlement instructions to “SH”. If I / we revoke this authorization, I / we shall specifically provide “SH” a written notice.

Thank you.

Yours sincerely,

\_\_\_\_\_  
(Signature)

Account Name:

Account Number:

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**Authorized Person Information**

Authorized Person’s Name: \_\_\_\_\_ (HKID / Passport copy enclosed)

Authorized Person’s Job Nature: (  Regulated Activities /  Others \_\_\_\_\_ )

Signed by Authorized Person: \_\_\_\_\_  
(Signature)

Authorized Person’s Contact Number: \_\_\_\_\_